

## CERTAIN SUBCLASSES OF BI-UNIVALENT FUNCTIONS ASSOCIATED WITH QUASI-SUBORDINATION

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**Abstract:** In this paper, we introduce and investigate two new subclasses of the function class  $\Sigma$  of bi-univalent function defined in the open unit disk which are associated with the quasi-subordination. We find estimates on the Taylor-Maclaurin coefficient  $|a_2|$  and  $|a_3|$  for functions in these subclasses. Several known and new consequences of these results are also pointed out.

**Key words:** Bi-univalent functions, quasi-subordination, coefficient estimates.

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### 1. Introduction

Let  $A$  denote the class of analytic functions in the unit disk  $U = \{z \in \mathbb{C} \mid |z| < 1\}$  that have the form

$$f(z) = z + \sum_{n=2}^{\infty} a_n z^n \quad (1)$$

and let  $S$  be the class of all functions from  $A$  which are univalent in  $U$ .

The Koebe quarter theorem [5] states that the image of  $U$  under every function  $f$  from  $S$  contains a disk of radius  $1/4$ . Thus such univalent function has an inverse  $f^{-1}$  which satisfies

$$f^{-1}(f(z)) = z \quad (z \in U)$$

and  $f(f^{-1}(w)) = w \quad (|w| < r_0(f), r_0(f) \geq 1/4).$

In fact, the inverse function  $f^{-1}$  is given by

$$f^{-1}(w) = w - a_2 w^2 + (2a_2^2 - a_3) w^3 - (5a_2^3 - 5a_2 a_3 + a_4) w^4 + \dots = g(w) \quad (2)$$

A function  $f \in A$  is said to be bi-univalent in  $U$  if both  $f$  and  $f^{-1}$  are univalent in  $U$ .

Let  $\Sigma$  denotes the class of bi-univalent functions defined in the unit disk  $U$ .

Robertson [12] introduced the concept of quasi-subordination which is generalization of subordination and majorization. For two analytic functions  $f$  and  $h$ , the function  $f$  is quasi subordination to  $h$  written as

$$f(z) \prec_q h(z) \quad (z \in U) \quad (3)$$

If there exist analytic functions  $\phi$  and  $\omega$ , with  $|\phi(z)| \leq 1, \omega(0) = 0$  and  $|\omega(z)| < 1$  such that  $f(z) = \phi(z)h(\omega(z))$ . Observe that if  $\phi(z) = 1$ , then  $f(z) = h(\omega(z))$ , so that

$f(z) \prec h(z)$  in  $U$ . also if  $\omega(z) = z$ , then  $f(z) = \phi(z)h(z)$  and it is said that  $f(z)$  is majorized by  $h(z)$  and written as  $f(z) \ll h(z)$  in  $U$ .

In 1967, Lewin [7] investigated the class  $\Sigma$  of bi-univalent functions and obtained the bound for the second coefficient  $|a_2|$ . Brannan and Taha [3] considered certain subclasses of bi-univalent functions similar to the familiar subclasses of univalent functions consisting of starlike, strongly starlike and convex functions. They introduced the bi-starlike function, bi-convex function classes and obtained non sharp estimates on the first two Taylor-Maclaurin coefficients  $|a_2|$  and  $|a_3|$ .

Recently Ali *et al.* [1], Deniz [4], Tang *et al.* [14], Peng *et al.* [9], Ramchandran *et al.* [11], Peng *et al.* [8] etc. have introduced and investigated Ma-Minda type subclasses of bi-univalent functions class  $\Sigma$ .

Motivated by the work of Goyal [6] we define subclasses of  $\Sigma$  by means of quasi-subordination.

Throughout this paper it is assumed that  $h$  is analytic in  $U$  with  $h(0) = 1$  and let

$$\phi(z) = A_0 + A_1z + A_2z^2 + \dots \quad (|\phi(z)| \leq 1, z \in U) \quad (4)$$

$$h(z) = 1 + B_1z + B_2z^2 + \dots \quad B_1 \in \mathbb{R}^+ \quad (5)$$

**Definition 1.1.** For  $\alpha \geq 1$  and  $\gamma \geq 0$  a function  $f \in \Sigma$  is said to be in class

$M_{\Sigma}^q(\tau, \alpha, \gamma; h)$  if the following quasi-subordination holds :

$$\frac{1}{\tau} \left\{ (1 - \alpha) \frac{f(z)}{z} + \alpha f'(z) + \gamma z f''(z) - 1 \right\} \prec_q (h(z) - 1)$$

and

$$\frac{1}{\tau} \left\{ (1 - \alpha) \frac{g(w)}{w} + \alpha g'(w) + \gamma w g''(w) - 1 \right\} \prec_q (h(w) - 1) \quad (6)$$

**Definition 1.2.** A function  $f \in \Sigma$  is said to be in class  $N_{\Sigma}(\eta, h)$  if the following quasi-subordination holds:

$$\frac{zF'(z)}{F(z)} - 1 \prec_q (h(z) - 1)$$

and

$$\frac{wG'(w)}{G(w)} - 1 \prec_q (h(w) - 1) \tag{7}$$

where  $F(z)$  and  $G(w)$  are as follows:

$$\frac{1}{F(z)} = \frac{1 - \eta}{f(z)} + \frac{\eta}{zf'(z)}$$

and

$$\frac{1}{G(w)} = \frac{1 - \eta}{g(w)} + \frac{\eta}{wg'(w)} \quad (\eta \in \mathbb{C})$$

The above classes of functions defined in terms of the quasi-subordination are associated with the classes of functions with positive real parts.

In the present paper, the coefficient bounds of  $|a_2|$  and  $|a_3|$  for function in the class  $M_\Sigma^q(\tau, \alpha, \gamma; h)$  and  $N_\Sigma(\eta, h)$  are obtained.

In order to derive our main results, we have to recall here the following Lemma.

**Lemma 1.** [10] Let  $p \in P$  the family of all functions  $p$  analytic in  $U$  for which  $R\{p(z)\} > 0$  and have the form  $p(z) = 1 + p_1z + p_2z^2 + \dots$  for  $z \in U$ , then  $|p_n| \leq 2$ , for each  $n$ .

**2. Main Results**

**Theorem 2.1.** If  $f \in M_\Sigma^q(\tau, \alpha, \gamma; h)$ , then

$$|a_2| \leq \frac{|\tau||A_0|B_1\sqrt{B_1}}{\sqrt{|\tau(1 + 2\alpha + 6\gamma)A_0B_1^2 + (1 + \alpha + 2\gamma)^2(B_1 - B_2)|}} \tag{8}$$

and

$$|a_3| \leq \frac{|\tau|B_1}{(1 + 2\alpha + 6\gamma)} \left( |A_1| + |A_0| \left( 1 + \frac{(1 + 2\alpha + 6\gamma)|\tau||A_0|B_1}{(1 + \alpha + 2\gamma)^2} \right) \right) \tag{9}$$

**Proof.** Since  $f \in M_{\Sigma}^q(\tau, \alpha, \gamma; h)$  and  $g = f^{-1}$ . Then there exists two analytic functions

$r, s : U \rightarrow U$  with  $r(0) = 0 = s(0)$ ,  $|r(z)| < 1$  and  $|s(z)| < 1$  and a function  $\phi$  in  $U$  defined by (4) satisfying.

$$\frac{1}{\tau} \left\{ (1-\alpha) \frac{f(z)}{z} + \alpha f'(z) + \gamma z f''(z) - 1 \right\} = \phi(z) (h(r(z)) - 1) \quad (10)$$

and

$$\frac{1}{\tau} \left\{ (1-\alpha) \frac{g(w)}{w} + \alpha g'(w) + \gamma w g''(w) - 1 \right\} = \phi(w) (h(r(w)) - 1) \quad (11)$$

Defined the function  $p$  and  $q$  by

$$p(z) = \frac{1+r(z)}{1-r(z)} = 1 + c_1 z + c_2 z^2 + \dots \quad (12)$$

$$q(z) = \frac{1+s(z)}{1-s(z)} = 1 + d_1 z + d_2 z^2 + \dots \quad (13)$$

or equivalently

$$r(z) = \frac{p(z)-1}{p(z)+1} = \frac{1}{2} \left( c_1 z + \left[ c_2 - \frac{c_1^2}{2} \right] z^2 + \dots \right) \quad (14)$$

$$s(z) = \frac{q(z)-1}{q(z)+1} = \frac{1}{2} \left( d_1 z + \left[ d_2 - \frac{d_1^2}{2} \right] z^2 + \dots \right) \quad (15)$$

It is clear that  $p$  and  $q$  are analytic in  $U$  and  $p(0) = 1 = q(0)$ . Also  $p$  and  $q$  have positive real part in  $U$ , and hence by Lemma 1, we have  $|c_i| \leq 2$  and  $|d_i| \leq 2$ .

In the view of (10), (11), (14) and (15), clearly

$$\frac{1}{\tau} \left\{ (1-\alpha) \frac{f(z)}{z} + \alpha f'(z) + \gamma z f''(z) - 1 \right\} = \phi(z) \left( h \left( \frac{p(z)-1}{p(z)+1} \right) - 1 \right) \quad (16)$$

and

$$\frac{1}{\tau} \left\{ (1-\alpha) \frac{g(w)}{w} + \alpha g'(w) + \gamma w g''(w) - 1 \right\} = \phi(w) \left( h \left( \frac{p(w)-1}{p(w)+1} \right) - 1 \right) \quad (17)$$

Since  $f \in \Sigma$  has the Maclaurin series given by (1), and  $g = f^{-1}$  has the expansion given by (2), hence we have

$$\begin{aligned} & \frac{1}{\tau} \left\{ (1 - \alpha) \frac{f(z)}{z} + \alpha f'(z) + \gamma z f''(z) - 1 \right\} \\ &= \frac{1}{\tau} \{ (1 + \alpha + 2\gamma) a_2 z + (1 + 2\alpha + 6\gamma) a_3 z^2 + \dots \} \end{aligned} \tag{18}$$

and

$$\begin{aligned} & \frac{1}{\tau} \left\{ (1 - \alpha) \frac{g(w)}{w} + \alpha g'(w) + \gamma w g''(w) - 1 \right\} \\ &= \frac{1}{\tau} \{ -(1 + \alpha + 2\gamma) a_2 w + (1 + 2\alpha + 6\gamma) (2a_2^2 - a_3) w^2 + \dots \}. \end{aligned} \tag{19}$$

Using (12) and (13) together with (4) and (5), it is evident that

$$\phi(z) \left( h \left( \frac{p(z)-1}{p(z)+1} \right) - 1 \right) = \frac{1}{2} A_0 B_1 c_1 z + \left\{ \frac{1}{2} A_1 B_1 c_1 + \frac{1}{2} A_0 B_1 \left( c_2 - \frac{c_1^2}{2} \right) + \frac{A_0 B_2 c_1^2}{4} \right\} z^2 + \dots \tag{20}$$

and

$$\phi(w) \left( h \left( \frac{q(w)-1}{q(w)+1} \right) - 1 \right) = \frac{1}{2} A_0 B_1 d_1 w + \left\{ \frac{1}{2} A_1 B_1 d_1 + \frac{1}{2} A_0 B_1 \left( d_2 - \frac{d_1^2}{2} \right) + \frac{A_0 B_2 d_1^2}{4} \right\} w^2 + \dots \tag{21}$$

Now using (18) and (20) in (16) and comparing the coefficient of  $z$  and  $z^2$ , we get

$$\frac{(1 + \alpha + 2\gamma)}{\tau} a_2 = \frac{1}{2} A_0 B_1 c_1 \tag{22}$$

$$\frac{(1 + 2\alpha + 6\gamma)}{\tau} a_3 = \frac{1}{2} A_1 B_1 c_1 + \frac{1}{2} A_0 B_1 \left( c_2 - \frac{c_1^2}{2} \right) + \frac{A_0 B_2}{4} c_1^2 \tag{23}$$

Similarly it follows from (17), (19) and (21) that

$$\frac{-(1 + \alpha + 2\gamma)}{\tau} a_2 = \frac{1}{2} A_0 B_1 d_1 \tag{24}$$

$$\frac{(1 + 2\alpha + 6\gamma)}{\tau} (2a_2^2 - a_3) = \frac{1}{2} A_1 B_1 d_1 + \frac{1}{2} A_0 B_1 \left( d_2 - \frac{d_1^2}{2} \right) + \frac{A_0 B_2}{4} d_1^2 \tag{25}$$

From (22) and (24), it follows that

$$c_1 = -d_1 \quad (26)$$

and (23), (25) and (26), yields

$$a_2^2 = \frac{\tau^2 A_0^2 B_1^3 (c_2 + d_2)}{4[\tau(1 + 2\alpha + 6\gamma)A_0 B_1^2 - (1 + \alpha + 2\gamma)^2 (B_2 - B_1)]} \quad (27)$$

Thus the desired estimate on  $|a_2|$  as asserted in (8), follows by Lemma 1.

Now further computation (22) to (26) leads to

$$a_3 = \frac{\tau}{(1 + 2\alpha + 6\gamma)} \left( \frac{A_1 B_1 c_1}{2} + \frac{A_0 B_1}{4} (c_2 - d_2) + \frac{\tau(1 + 2\alpha + 6\gamma)}{4(1 + \alpha + 2\gamma)^2} A_0^2 B_1^2 c_1^2 \right) \quad (28)$$

We readily get the estimate given in (9) by applying Lemma 1.

**Remarks 2.2.**

- (i) Putting  $\alpha = 1$  in Theorem 2.1, we obtain the corresponding result given by Goyal *et al.* [6].
- (ii) Putting  $\alpha = 1$  and  $\phi(z) = 1$  in Theorem 2.1, we obtain the corresponding result given by Deniz [4].
- (iii) Putting  $\alpha = \lambda - 2\gamma$  and  $\phi(z) = 1$  in Theorem 2.1, we obtain the corresponding result given by Ramachandran *et al.* [11].
- (iv) Putting  $\tau = 1$ ,  $\gamma = 0$  and  $\phi(z) = 1$  in Theorem 2.1, we obtain the corresponding result given earlier by Ali *et al.* [1].
- (v) Putting  $\tau = 1$ ,  $\gamma = 0$  and  $\phi(z) = \left( \frac{1+z}{1-z} \right)^\beta$  in Theorem 2.1, we obtain the corresponding result given earlier by Srivastava and Bansal [13].

For  $\alpha = 1$  and  $\gamma = 0$ , the above Theorem 2.1 reduces to

**Corollary 2.3.** If

$$\frac{1}{\tau} (f'(z) - 1) \prec_q (h(z) - 1)$$

and

$$\frac{1}{\tau} (g'(w) - 1) \prec_q (h(w) - 1),$$

then

$$|a_2| \leq \frac{|\tau|A_0B_1\sqrt{B_1}}{\sqrt{|3\tau A_0 B_1^2 + 4(B_1 - B_2)|}}$$

and

$$|a_3| \leq \frac{|\tau|B_1}{3} \left( |A| + |A_0| \left( 1 + \frac{3}{4} |\tau| |A_0| |B_1| \right) \right)$$

**Theorem 2.4.** If  $f \in N_\Sigma(\eta, h)$ , then

$$|a_2| \leq \frac{|A_0|B_1\sqrt{B_1}}{\sqrt{|(1 + \eta^2)A_0 B_1^2 + (1 + \eta)^2(B_1 - B_2)|}} \tag{29}$$

and

$$|a_3| \leq \frac{B_1}{(1 + 2\eta)} \left( \frac{|A_1|}{2} + |A_0| \left( \frac{1}{2} + \frac{(1 + 2\eta)}{(1 + \eta)^2} |A_0| |B_1| \right) \right) \tag{30}$$

**Proof.** Since  $f \in N_\Sigma(\eta, h)$  and  $g = f^1$ . Then there exists two analytic functions

$r, s : U \rightarrow U$  with  $r(0) = 0 = s(0)$ ,  $|r(z)| < 1$  and  $|s(z)| < 1$  and a function  $\phi$  in  $U$  defined by (4) satisfying

$$\frac{zF'(z)}{F(z)} - 1 = \phi(z)(h(r(z)) - 1) \tag{31}$$

and

$$\frac{wG'(w)}{G(w)} - 1 = \phi(w)(h(s(w)) - 1), \tag{32}$$

where  $r(z), s(z)$  are defined by (14) and (15) respectively.

Under the same restrictions for  $p(z), q(z), c_i$  and  $d_i$  as mentioned in the Theorem 2.1, we have

$$\frac{zF'(z)}{F(z)} - 1 = \phi(z) \left( h \left( \frac{p(z) - 1}{p(z) + 1} \right) \right) - 1 \tag{33}$$

and

$$\frac{wG'(w)}{G(w)} - 1 = \phi(w) \left( h \left( \frac{q(w)-1}{q(w)+1} \right) - 1 \right) \quad (34)$$

Since

$$\frac{zF'(z)}{F(z)} - 1 = (1 + \eta)a_2z + \{2(1 + 2\eta)a_3 + (\eta^2 - 4\eta - 1)a_2^2\}z^2 + \dots \quad (35)$$

and

$$\frac{wG'(w)}{G(w)} - 1 = -(1 + \eta)a_2w + \{-2(1 + 2\eta)a_3 + (\eta^2 + 4\eta + 3)a_2^2\}w^2 + \dots \quad (36)$$

The right-hand sides of (33) and (34) are given by (20) and (21), respectively.

Now using (20) and (35) in (33) and comparing the coefficients of  $z$  and  $z^2$ , we get

$$(1 + \eta)a_2 = \frac{1}{2}A_0B_1c_1 \quad (37)$$

$$2(1 + 2\eta)a_3 + (\eta^2 - 4\eta - 1)a_2^2 = \frac{1}{2}A_1B_1c_1 + \frac{1}{2}A_0B_1\left(c_2 - \frac{c_1^2}{2}\right) + \frac{A_0B_2}{4}c_1^2 \quad (38)$$

Similarly it follows from (21), (34) and (36) that

$$-(1 + \eta)a_2 = \frac{1}{2}A_0B_1d_1 \quad (39)$$

$$-2(1 + 2\eta)a_3 + (\eta^2 + 4\eta + 3)a_2^2 = \frac{1}{2}A_1B_1d_1 + \frac{1}{2}A_0B_1\left(d_2 - \frac{d_1^2}{2}\right) + \frac{A_0B_2}{4}d_1^2 \quad (40)$$

From (37) and (39), it follows that

$$c_1 = -d_1 \quad (41)$$

and, (38), (40) and (41), yields

$$a_2^2 = \frac{A_0^2B_1^3(c_2 + d_2)}{4[(1 + \eta^2)A_0B_1^2 - (1 + \eta)^2(B_2 - B_1)]} \quad (42)$$

Thus the desired estimate on  $|a_2|$  as asserted in (29) follows using the Lemma 1 that

$|c_2| \leq 2$  and  $|d_2| \leq 2$ .

Now further computation (37) to (41) leads to



$$a \frac{1}{(1+2\eta)} \left( \frac{A_1 B_1 c_1}{4} + \frac{1}{8} A_0 B_1 (c_2 - d_2) + \frac{1}{4} \frac{(1+2\eta)}{(1+\eta)} \right) A_2^2 B_2^2 c_2^2 \tag{43}$$

We readily get the estimate given in (30) by applying Lemma 1.

**Remark 2.5.** Putting  $\phi(z) = 1$  in Theorem 2.4, we obtain the corresponding result given by Deniz [4].

For  $\eta = 0$ , the above Theorem 2.4 reduces to

**Corollary 2.6.** If

$$\frac{zf'(z)}{f'(z)} - 1 \prec_q (h(z) - 1)$$

and

$$\frac{wg'(w)}{g'(w)} - 1 \prec_q (h(z) - 1)$$

then

$$|a_2| \leq \frac{|A_0 B_1 \sqrt{B_1}}{\sqrt{|A_0 B_1^2 + (B_1 - B_2)|}}$$

and

$$|a_3| \leq B_1 \left( \frac{|A_1|}{2} + |A_0| \left( \frac{1}{2} + |A_0| B_1 \right) \right)$$

For  $\eta = 1$ , the above theorem 2.4 reduces to

**Corollary 2.7.** If

$$\frac{zf''(z)}{f'(z)} - 1 \prec_q (h(z) - 1)$$

and

$$\frac{wg''(w)}{g'(w)} - 1 \prec_q (\eta(\omega) - 1),$$

then

$$|a_2| \leq \frac{|A_0|B_1\sqrt{B_1}}{\sqrt{|2A_0B_1^2 + 4(B_1 - B_2)|}}$$

and

$$|a_3| \leq \frac{B_1}{3} \left( \frac{|A_1|}{2} + |A_0| \left( \frac{1}{2} + \frac{3}{4} |A_0| B_1 \right) \right)$$

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